iAP7 Rec'd PCT/PTO 13 APR 2006
PTO1390 (Rev.10-2005)
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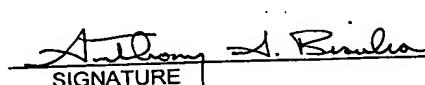U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER 2003DE132
	U.S. APPLICATION NO. (If known) see 37 CFR 1.5) 10/576222

INTERNATIONAL APPLICATION NO. PCT/EP2004/011102	INTERNATIONAL FILING DATE 05 October 2004	PRIORITY DATE CLAIMED 16 October 2003

TITLE OF INVENTION Azo Pigment Preparations for Packaging Gravure and Flexographic Printing

APPLICANT(S) FOR DO/EO/US Heinz BACH, Ulrich OTT and Rainer WINTER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.

2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.

3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. [X] The US has been elected (Article 31).

5. [ ] A copy of the International Application as filed (35 U.S.C. 371(c)(2))

   a. [ ] is attached hereto (required only if not communicated by the International Bureau).

   b. [ ] has been communicated by the International Bureau.

   c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).

6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

   a. [X] is attached hereto.

   b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).

7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

   a. [ ] are attached hereto (required only if not communicated by the International Bureau).

   b. [ ] have been communicated by the International Bureau.

   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.

   d. [X] have not been made and will not be made.

8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. [X] A preliminary amendment.

14. [ ] An Application Data Sheet under 37 CFR 1.76.

15. [ ] A substitute specification.

16. [ ] A power of attorney and/or change of address letter.

17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. [X] Other items or information: See Attachment 1

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U.S. APPLICATION NO. (if known See 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP2004/011102	ATTORNEY'S DOCKET NUMBER 2003DE132

	CALCULATIONS	PTO USE ONLY
The following fees have been submitted	$	
21. [X] Basic national fee... $300	$ 300.00	
22. [X] Examination fee		
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..$100	$ 200.00	
All other situations...$200		
23. [X] Search fee		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.................................$100	400.00	
International Search Report prepared and provided to the Office..........$400	$	
All other situations...$500		
	$ 900.00	

TOTAL OF 21, 22 and 23 =

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).
The fee is $250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
21 - 100 =	−79 /50 =		x $250	$ 0.00	

Surcharge of $130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).	$ 0.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total claims	10 - 20 =	0	x $ 50	$ 0.00	
Independent claims	1 - 3 =	0	x $200	$ 0.00	
			+ $360	$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					
		TOTAL OF ABOVE CALCULATIONS =		$ 900.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				0.00	
			SUBTOTAL =	$ 900.00	

Processing fee of $130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).	+	$	0.00
	TOTAL NATIONAL FEE =	$	900.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property	+	$	0.00
	TOTAL FEES ENCLOSED =	$	900.00

	Amount to be refunded:	$
	Amount to be charged:	$

a. ☐ A check in the amount of $ _____ to cover the above fees is enclosed.

b. [X] Please charge my Deposit Account No. 03-2060 in the amount of $ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:
Anthony A. Bisulca
Clariant Corporation
Intellectual Property Law Department
4000 Monroe Road
Charlotte, NC 28205

CUSTOMER NO. 25,255

SIGNATURE

Anthony A. Bisulca
NAME

40.913
REGISTRATION NUMBER

10/576222

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date of Mailing: April 13, 2006

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (3 pages) w/ duplicate (6 pages)
- Copy of International Search Report (3 pages)
- Preliminary Amendment with Abstract (5 pages)
- Copy of the English Translation of International Application (21 PAGES SPECIFICATION and claims); (claims 10 in number)
- Postage pre-paid Postcard Receipt

Inventors: **Heinz BACH**
Ulrich OTT
Rainer WINTER

For: **Azo Pigment Preparations for Packaging Gravure and Flexographic Printing**

International Application No.: **PCT/EP2004/011102**
International Filing Date: **05 October 2004**
Priority Filing Date: **16 October 2003**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Sgro